

# ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST – DECISIONS AND VOTING State Form 55860 (R / 10-15) OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

ANAIGNI STATE ETHICS COMMISSION

JAN 17-2023

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.						
Name (last)	Name (first)		Name <i>(middle)</i>			
Boyd	Kristopher					
Name of office or agency	of a cittle Printelet	Job title				
Indiana Department of Transportation- Crawfordsville District		Erosion & Sediment Control Specialist  City ZIP code				
Address of office (number and street) 41 West CR 300 North		Crawfordsville		47933		
Office telephone number ( 765 ) 361-5272	Office e-mail address (required) Kboyd1@indot.in.gov	)				
Describe the conflict of interest:	, <u>y</u> <u>y</u>					
Kristopher Boyd is Erosion & Sediment Co	ontrol Specialist for the Indiar	na Departi	ment of Transportatior	n- Crawfordsville.		
Kristopher Boyd recently entered employ	ment negotiations with WSP	USA, Inc	. ("WSP").			
WSP has a business relationship with INI	DOT, including active contra	cts with th	e Department.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Kristopher Boyd's employment negotiatio	ns with WSP therefore creat	ted a pote	ntial decisions and vo	ting conflict		
of interest under IC 4-2-6-9. This disclosu	ure is therefore being filed in	anticipation	on of that potential co	nflict.		
				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Kristopher Boyd is not subject to the man	datory cooling off period (IC	4-2-6-11(	b)) with regard to WS	6P.		
Kristopher Boyd is subject to the particular matter restriction and will not assist future employers, including WSP,						
with any matter he personally and substantially participated in on behalf of INDOT.						
This disclosure was provided to Kristopher Boyd's appointing authority, Commissioner Michael J. Smith, on January 11, 2023.						
Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).						
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7)						
of the potential conflict being identified by the below signed ethics officer.						
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Page 1				
Describe the screen established by your ethics officer. (Attach additional pages as needed.)  A formal screen was executed with INDOT's ethics officer preventing Kristopher Boyd from	working with WSP USA, Inc.			
in his capacity as an INDOT employee. Said screen prohibits Kristopher Boyd from under t	aking any of his official duties			
or otherwise participating in any decision or vote, or matter related to such decision or vote	, involving WSP,			
or in which WSP has an interest. Kristopher Boyd is further screened from assisting any fut	ure employer,			
including WSP, with any matter in which he personally and substantially participated in whil	le employed by INDOT.			
***************************************				
The screen was executed on January 11, 2023, a copy of which was sent to Commissioner	Michael J. Smith along			
with this disclosure.				
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AFFIRMATION				
AFFIRWATION				
Your signature below affirms that your disclosures on this form are true, complete, and corr knowledge and belief. In addition to this form, you have attached a copy of your written dis appointing authority and ethics officer.				
Signature of state officer, employee or special state appointee  Kristopher S Boyd	Date signed <i>(month, day, year)</i> January 11, 2023			
Printed full name of state officer employee or special state appointee Kristopher S Boyd				
Transcoprior o boyu				
FOR ETHICS OFFICER USE ONLY				
Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.				
Signature of ethics officer  (s): Christopher Serak	Date signed <i>(month, day, year)</i> January 11, 2023			
Printed full name of ethics officer Christopher B. Serak				

## CONFLICT OF INTEREST SCREEN FOR KRISTOPHER BOYD January 11, 2023

#### I. RECITALS

WHEREAS, Kristopher Boyd is an Erosion & Sediment Control Specialist for the Indiana Department of Transportation ("INDOT" or "Department"); and

WHEREAS, Kristopher Boyd entered employment negotiations with WSP USA, Inc. ("WSP"); and

WHEREAS, WSP has a business relationship with INDOT, including active contracts; and

WHEREAS, as an Erosion & Sediment Control Specialist for INDOT, it is possible that Kristopher Boyd could participate in matters in which WSP has an interest as part of his regular duties; and

WHEREAS, Kristopher Boyd's employment negotiations with WSP created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Kristopher Boyd is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including WSP, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Kristopher Boyd may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.<sup>1</sup>

NOW THEREFORE, Kristopher Boyd, Erosion & Sediment Control Specialist for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with WSP USA, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Kristopher Boyd must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

#### II. CONFLICT OF INTEREST SCREEN

- 1. Kristopher Boyd shall not participate in any decision or vote, or any matter related to such decision or vote, in which WSP has a financial interest.
- 2. Kristopher Boyd is screened from participating in any present or future contract or other matter involving WSP.
- 3. Kristopher Boyd shall not assist any future employers, including WSP, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
- 4. Pursuant to his duty under Ind. Code §5-14-3-10, Kristopher Boyd shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

#### III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which WSP Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with WSP, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 11th day of January 2023, by:

Kristopher Bbyd, Erosion & Sediment Control Specialist

Indiana Department of Transportation

<sup>&</sup>lt;sup>1</sup> State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

### Baker, Nathaniel P

To:

Serak, Chris (INDOT)

Subject:

RE: Executed COI Disclosure & Screen (Kristopher Boyd)

From: Serak, Chris (INDOT)

Sent: Tuesday, January 17, 2023 10:42 AM

To: Smith, Michael J < Michael. Smith@indot. IN.gov >

Cc: Boyd, Kristopher S < KBoyd1@indot.IN.gov>; Shelby, Kate < KShelby@indot.IN.gov>

Subject: Executed COI Disclosure & Screen (Kristopher Boyd)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Kristopher Boyd. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation

Office: (317) 234-2115 Email: <a href="mailto:chserak@indot.in.gov">chserak@indot.in.gov</a>

Location: IGCN, 100 N Senate, N658-PQ